# Appendix 1 Grants.gov Application Submission Instructions

#### A. Requirements to Submit Through Grants.gov and Limited Exception Procedures

Applicants must apply electronically through <u>www.grants.gov</u> under this funding opportunity based on the <u>www.grants.gov</u> instructions in this announcement. If your organization has no access to the Internet or access is very limited, you may request an exception for the remainder of this calendar year by following the procedures outlined at <u>www.epa.gov/grants/exceptions-grantsgov-submission-requirement</u>. Please note that your request must be received <u>at least 15</u> <u>calendar days</u> before the application due date to allow enough time to negotiate alternative submission methods. Issues with submissions with respect to this opportunity only are addressed in Section *C. Technical Issues with Submission* below.

#### **B.** Submission Instructions

## B.1. SAM.gov (System for Award Management) Registration Instructions

Organizations applying to this funding opportunity must have an active SAM.gov registration. If you have never done business with the Federal Government, you will need to register your organization at <u>www.sam.gov</u>. If you do not have a SAM.gov account, then you will create an account using <u>Login.gov</u><sup>27</sup> to complete your SAM.gov registration. SAM.gov registration is FREE. The process for entity registrations includes obtaining a Unique Entity ID (UEI), a 12-character alphanumeric ID assigned to an entity by SAM.gov, and requires assertions, representations and certifications, and other information about your organization. Please review the <u>Entity Registration Checklist</u> for details on this process.

If you have done business with the Federal Government previously, you can check your entity status using your government-issued UEI to determine if your registration is active. SAM.gov requires you to renew your registration every 365 days to keep it active.

Please note that SAM.gov registration is different than obtaining a UEI only. Obtaining a UEI only validates your organization's legal business name and address. Please review the registration <u>Frequently Asked Question</u> for additional details on the difference.

Organizations should ensure that their SAM.gov registration includes a current e-Business (EBiz) point of contact name and email address. The EBiz point of contact is critical for Grants.gov Registration and system functionality.

Contact the <u>Federal Service Desk</u> (866-606-8220) for help with your SAM.gov account, to resolve technical issues or chat with a help desk agent. The Federal Service desk hours of operation are Monday – Friday, 8 a.m. – 8 p.m. ET.

<sup>&</sup>lt;sup>27</sup> Login.gov a secure sign in service used by the public to sign into Federal Agency systems including SAM.gov and Grants.gov. For help with login.gov accounts you should visit <u>http://login.gov/help</u>.

## **B.2.** Grants.gov Registration Instructions

Once your SAM.gov account is active, you must register in Grants.gov. Grants.gov will electronically receive your organization information, such as e-Business (EBiz) point of contact email address and UEI. Organizations applying to this funding opportunity must have an active Grants.gov registration. Grants.gov registration is FREE. If you have never applied for a federal grant before, please review the <u>Grants.gov Applicant Registration</u> instructions. As part of the Grants.gov registration process, the EBiz point of contact is the only person that can affiliate and assign applicant roles to members of an organization. In addition, at least one person must be assigned as an Authorized Organization Representative (AOR). Only person(s) with the AOR role can submit applications in Grants.gov. Please review the Intro to Grants.gov-Understanding User Roles and Learning Workspace – User Roles and Workspace Actions for details on this important process.

Please note that this process can take **a month or more** for new registrants. Applicants must ensure that all registration requirements are met in order to apply for this opportunity through Grants.gov and should ensure that all such requirements have been met well in advance of the application submission deadline.

Contact <u>Grants.gov</u> for assistance at 1-800-518-4726 or <u>support@grants.gov</u> to resolve technical issues with Grants.gov. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a Grants.gov representative by calling 606-545-5035. The Grants.gov Support Center is available 24 hours a day 7 days a week, excluding federal holidays.

#### **B.3.** Application Submission Process

To begin the application process under this grant announcement, go to <u>www.grants.gov</u> and enter the Funding Opportunity Number, **EPA-I-OLEM-OBLR-22-08**, into the search box in the top right corner of the page. Click on the "GO" button to view the "View Grant Opportunity" page and click the red "Apply" button at the top of the page.

The electronic submission of your application for this funding opportunity must be made by an official representative of your organization who is registered with <u>www.grants.gov</u> and is authorized to sign applications for Federal financial assistance. If the submit button is grayed out, it may be because you do not have the appropriate role to submit in your organization. Contact your organization's EBiz point of contact or contact Grants.gov for assistance at 1-800-518-4726 or <u>support@grants.gov</u>.

Applicants need to ensure that the Authorized Organization Representative (AOR) who submits the application through <u>www.grants.gov</u> and whose UEI is listed on the application is an AOR for the applicant listed on the application. Additionally, the UEI listed on the application must be registered to the applicant organization's SAM.gov account. If not, the application may be deemed ineligible.

# **B.4.** Application Submission Deadline

Your organization's AOR must successfully submit your complete application package electronically to EPA through <u>www.grants.gov</u> no later than November 22, 2022, 11:59 p.m. ET. Please allow for enough time to successfully submit your application and allow for unexpected errors that may require you to resubmit.

After signing and successfully submitting the application package, within 24 to 48 hours the AOR should receive notification emails from <u>www.grants.gov</u> with the following subject lines:

## 1. GRANT###### Grants.gov Submission Receipt

# 2. GRANT###### Grants.gov Submission Validation Receipt for Application

If the AOR did not receive either notification emails listed above, contact the <u>www.grants.gov</u> Support Center at 1-800-518-4726. The Support Center is open 24/7 (except federal holidays).

After the application package is retrieved out of the <u>www.grants.gov</u> system by EPA, the AOR should receive the following notification emails from <u>www.grants.gov</u>:

## 3. GRANT###### Grants.gov Grantor Agency Retrieval Receipt for Application

## 4. GRANT###### Grants.gov Agency Tracking Number Assignment for Application

Applications submitted through <u>www.grants.gov</u> will be time and date stamped electronically. If you do not receive a confirmation of receipt from EPA (not from <u>www.grants.gov</u>) within 30 days of the application deadline, please contact Jerry Minor-Gordon at <u>minor-gordon.jerry@epa.gov</u>. Failure to do so may result in your application not being reviewed.

Please note that successful submission of your application through <u>www.grants.gov</u> does not necessarily mean your application is eligible for award.

#### C. Technical Issues with Submission

If applicants experience technical issues during the submission of an application that they are unable to resolve, follow these procedures **<u>before</u>** the application deadline date:

- 1. Contact the <u>www.grants.gov</u> Support Center <u>before</u> the application deadline date at 1-800-518-4726 or <u>https://gditshared.servicenowservices.com/hhs\_grants</u>.
- 2. Document the <u>www.grants.gov</u> ticket/case number.
- Send an email with the Funding Opportunity Number, EPA-I-OLEM-OBLR-22-08, in the subject line to Jerry Minor-Gordon (<u>minor-gordon.jerry@epa.gov</u>) <u>before</u> the application deadline time and date. The email <u>must</u> include the following:
  - a. The <u>www.grants.gov</u> ticket/case number(s).
  - b. A description of the issue.
  - c. The entire application package in PDF format.

Without this information, EPA may not be able to consider applications submitted outside of <u>www.grants.gov</u>. Any application submitted after the application deadline time and date deadline will be deemed ineligible and <u>not</u> be considered.

EPA will make decisions concerning acceptance of each application submitted outside of <u>www.grants.gov</u> on a case-by-case basis. EPA will only consider accepting applications that were unable to submit through <u>www.grants.gov</u> due to <u>www.grants.gov</u> or relevant <u>www.sam.gov</u> system issues or for unforeseen exigent circumstances, such as extreme weather interfering with Internet access. Failure of an applicant to submit prior to the application submission deadline time and date because they did not properly or timely register in <u>www.sam.gov</u> or <u>www.grants.gov</u> is <u>not</u> an acceptable reason to justify acceptance of an application outside of <u>www.grants.gov</u>.

# **D.** Application Materials

The following forms and documents are <u>required</u> under this announcement.

- 1. Application for Federal Assistance (SF-424)
- 2. Budget Information for Non-Construction Programs (SF-424A)
- 3. EPA Key Contacts Form 5700-54
- 4. Preaward Compliance Review Report (EPA Form 4700-4) [Guidance on how to complete this form is available at <u>www.epa.gov/grants/tips-completing-epa-form-4700-4</u>.]
- 5. Project Narrative Attachment Form attach the Narrative Information Sheet, the Narrative, and required attachments as one file, if possible. See <u>Section IV.C.</u> for details on the required content and the associated page limits.

The following forms and documents are **optional** under this announcement.

- Grants.gov Lobbying Form To be submitted by applicants requesting <u>more than</u> \$100,000 of EPA grant funding.
- Negotiated/Proposed Indirect Cost Rate Agreement To be submitted using the Project Narrative Attachment Form by applicants proposing to charge indirect costs to the EPA grant. Please note that applicants may budget for indirect costs pending approval of their Indirect Cost Rate Agreement by the cognizant Federal agency or an exception granted by EPA under section 6.3 or 6.4 of EPA's Indirect Cost Policy for Recipients of EPA <u>Assistance Agreements</u>. However, recipients may not draw down indirect costs until their rate is approved or EPA grants an exception.

**Note**: A workplan is <u>not required</u> under this announcement. Applicants that are selected for funding will negotiate the workplan with EPA before the cooperative agreement is awarded. Additionally, selected applicants must provide EPA with other required forms and documents, as appropriate, to award the cooperative agreement.